



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,245	11/15/2001	Jens Holm	4305/1H942US2

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022



CONFIRMATION NO. 9286

## FORMALITIES LETTER



\*OC000000008672926\*

02/04/2003 NPROSASO 00000002 10001245

01 FC:1202

1332.00 OP

Date Mailed: 08/23/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/29/2002 MBLANCO 00000004 10001245

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 740.00 OP  
02 FC:1203 280.00 OP  
03 FC:1051 130.00 OP  
04 FC:1202 1422.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible ( see 37 CFR 1.84(o));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the

Adjustment date: 02/04/2003 NPROSASO  
11/29/2002 MBLANCO 00000004 10001245  
04 FC:1202 -1422.00 OP

Repln. Ref: 02/04/2003 NPROSASO 0009404500  
DAH:040100 Name/Number:10001245  
FC: 9204 \$90. CR

"Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821( ) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of \$1108 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1978 for a Large Entity

- \$740 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$1108
  - \$828 for 46 total claims over 20.
  - \$280 for multiple dependent claim surcharge.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



EXPRESS MAIL CERTIFICATE

Date 11/26/02 Label No. EV115470706 US  
I hereby/certify that, on the date indicated above, this paper or fee  
was deposited with the U.S. Postal Service & that it was addressed  
for delivery to the Assistant Commissioner for Patents, Washington,  
DC 20231 by "Express Mail Post Office to Addressee" service.

PATRICIA A. RUARO Patricia A. Ruaro  
Name (Print) Signature

PLEASE CHARGE ANY DEFICIENCY UP TO \$500.00 OR CREDIT  
ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR  
DEPOSIT ACCOUNT NO. 04-0100

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 4305/1H942-US2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jens HOLM et al.

Serial No.: 10/001,245

Art Unit: 1644

Confirmation No.: 9286

Filed: November 15, 2001

Examiner: TBA

For: **NOVEL MUTANT ALLERGENS**

Box MISSING PARTS

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

**COMPLETION OF PATENT APPLICATION**

The following items are submitted herewith in completion of the above-identified  
patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$2612, (\$2442 filing; \$40 recording; \$130 late)  
in the form of:  
[X] check  
[] deposit account no. 04-0100

3. [X] Substitute Drawings, 54 sheets (Figs. 1-9, 10A-B, 11A-B, 12-14, 15A-B, 16A-B, 17, 18A-B, 19A-B, 20-28, 29A-D, 30-34, 35A-B, 36-37, 38A-D, 39A-B, 40A-B, 41-44)
4. [X] Assignment for recording to: ALK-Abello A/S, Horsholm, Denmark
5. [X] Amendment Under 37 C.F.R. §1.825
6. [X] Statement Pursuant to 37 C.F.R. §1.821
7. [X] Substitute Sequence Listing (Hard Copy & CRF)
8. [X] Preliminary Amendment and "Mark-up" thereof
9. A copy of Notice to File Missing Parts of Application.

The Patent Office is authorized to charge any deficiency up to \$500.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: November 26, 2002



Mitchell Bernstein, Ph.D.

Reg. No. 46,550

Agent for Applicant(s)

DARBY & DARBY P.C.  
Post Office Box 5257  
New York, NY 10150-5257  
212-527-7700

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee .....				\$740.00
Total Claims	99- 20	- 0 =	79 x \$18.00	\$1422.00
Independent Claims	1 - 3	- 0 =	0 x \$84.00	\$
Multiple Dependent Claims		- if so, add	\$280.00	\$280.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$130.00
SUBTOTAL .....				\$2572.00
<input type="checkbox"/> Small Entity REDUCTION (Half of Subtotal)				\$0.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL .....				\$2612.00